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PTO/SB/21 (09-04)  
Approved for use through 07/31/2006. OMB 0651-0031  
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/726,130	
	Filing Date	Dec 1, 2003	
	First Named Inventor	Sun	
	Art Unit	2873	
	Examiner Name	Sugarman	
Total Number of Pages in This Submission	5*	Attorney Docket Number	3084.017

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance communication to (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Certification under 1.97(e)  Return postcard to applicant's attorney.
Remarks _____ *Exclusive of cited references		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	SINSHEIMER, SCHIEBELHUT & BAGGETT		
Signature	<i>Julie A. Hopper</i>		
Printed name	Julie A. Hopper		
Date	October 6, 2005	Reg. No.	50869

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Signature	<i>Julie A. Hopper</i>		
Typed or printed name	Julie A. Hopper	Date	Oct. 6, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Inventor(s): Sun

Serial No.: 10/726,130

Filing Date: 12/1/2003

Title: Apparatus and method for use in  
fulfilling illumination prescription

Attorney Docket No.: 3084.017

Examiner: Sugarman

Group Art Unit: 2873

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

STATEMENT UNDER 37 CFR 1.97(e)

Sir:

The undersigned hereby certifies that either:

- (X) Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- ( ) No item of information contained in the Information Disclosure Statement
- was cited in a communication from a foreign patent office in a counterpart foreign application, and
- to the knowledge of the undersigned, after making reasonable inquiry, was known to an individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully Submitted,

SINSHEIMER, SCHIEBELHUT & BAGGETT

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Date of Deposit: Oct 16, 2005

Typed Name: Julie A. Hopper

Signature: Julie A. Hopper

By Julie A. Hopper  
Julie A. Hopper  
Agent for Applicant(s)  
Reg. No.: 50869

Date: Oct 16, 2005

Telephone No.: 858-587-7649



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Sun

Serial No.: 10/726,130

Filed: 12/1/2003

Title: Apparatus and method for use in  
fulfilling illumination prescription

Attorney Docket No.: 3084.017

Group Art Unit:

2873

Examiner:

Sugarman

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
  - ☐ Statement under 37 CFR 1.97(e), or
  - ☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☒ under 37 CFR 1.97(d) together with a:
  - ☒ Statement under 37 CFR 1.97(e), and
  - ☒ a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

**THIS MAILED IDS IS BEING SUBMITTED CONCURRENTLY  
WITH AN ELECTRONIC IDS CONTAINING THE FEE OF \$180.00  
PROVIDED UNDER 37 CFR 1.17(p). AS SUCH, NO FEE IS REQUIRED  
IN THIS SUBMISSION FILED ON THE SAME DATE, OCTOBER 6, 2005**

X Applicant(s) submit herewith Form PTO/SB/08B Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The items listed on PTO/SB/08B are either in the English language or an English translation is provided, therefore, no further explanation is required.

It is requested that the information disclosed herein be made of record in this application.

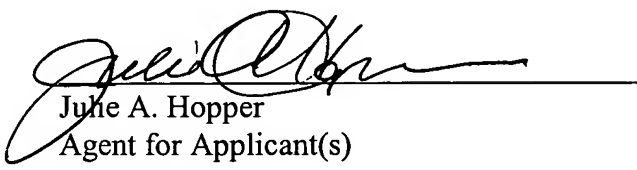
Respectfully submitted,

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SINSHEIMER, SCHIEBELHUT &  
BAGGETT


Date of Deposit: Oct 6, 2005

Typed Name: Julie A. Hopper

  
Julie A. Hopper

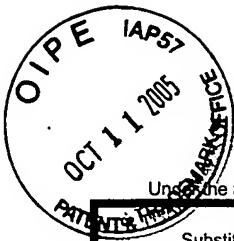
Agent for Applicant(s)

Reg. No. 50869

Signature: 

Date: Oct. 6, 2005

Telephone No.: 858-587-7649




PTO/SB/08b (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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 <p>Substitute for form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(Use as many sheets as necessary)</i></p>				<b>Complete if Known</b>	
				Application Number	10/726,130
				Filing Date	12/1/2003
				First Named Inventor	Sun
				Art Unit	2873
				Examiner Name	Sugarman
				Attorney Docket Number	3084.017
Sheet	1	of	1		

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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